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Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context

Sixth session

Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment

Second session

Geneva, 2–5 June 2014
Items 3 (c) and 11 (c) of the provisional agenda

Outstanding issues: draft joint decisions

Adoption of decisions: decisions to be taken jointly

Report on the budget and financial arrangements in the period since the fifth session of the Meeting of the Parties to the Convention

Note by the secretariat

Summary

The Meeting of the Parties to the Convention on Environmental Impact Assessment in a Transboundary Context and the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol on Strategic Environmental Assessment requested the Convention secretariat, in accordance with the financial rules of the United Nations, to monitor the expenditure of the Convention's funds and to prepare a report for the next sessions of the Meetings of the Parties, based on the information contained in biannual reports (ECE/MP.EIA/15, V/10–I/10, para. 14). This report covers the period from after the fifth session of the Meeting of the Parties to the Convention, in June 2011, to mid-March 2014, and includes estimated expenditure up to and including the sixth session of the Meeting of the Parties to the Convention in June 2014. The trust fund balance was positive at the end of the period.

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The Meetings of the Parties are invited to consider the information and take it into account in their deliberations on budgetary matters.

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I. Introduction

1. This report sets out income, in-kind contributions and expenditure regarding the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention) and its Protocol on Strategic Environmental Assessment (Protocol on SEA) from the fifth session of the Meeting of the Parties to the Convention, in June 2011, to mid-March 2014, and includes estimated expenditure up to and including the sixth session of the Meeting of the Parties to the Convention in June 2014, as well as the balance in the Convention's trust fund at the end of the period. It also identifies savings and overspend. Details of income and expenditure are provided in the annex to this report.

II. Pledges and fundraising

2. The pledges made at the fifth session of the Meeting of the Parties to the Convention (MOP) were insufficient to cover the budget of the Convention and the Protocol for the period up to the sixth session of the MOP and the second session of the Meeting of the Parties to the Convention serving as the Meeting of the Parties to the Protocol (MOP/MOP),[1] representing only less than 50 per cent of that budget. The Parties were therefore invited to come forward with further contributions, noting also that the Meetings of the Parties had encouraged: (a) Parties that had up till then not pledged anything to make contributions during the current and future budget cycles; and (b) Parties that had up till then only committed limited funds or in-kind contributions to raise their contributions during the current and future budget cycles.[2]

3. The Chair of the Bureau wrote to a number of Parties in March 2012 and again in May 2013 for this purpose. Some Parties made unpledged contributions in the reporting period, including subsequent to the letter from the Chair. In particular, Norway contributed over seven times more than its initial pledge. Finland funded an associate expert to support the secretariat for the entire intersessional period (and in total for four consecutive years).

4. It is expected that all countries will have fulfilled their pledges for the intersessional period. At the time of writing, in mid March 2014, outstanding pledged contributions for 2014 from Croatia and Hungary were still under way.

III. Income and expenditure

5. Table 1 summarizes the income and expenditure in United States dollars. Parties made financial contributions to the Convention's trust fund (annex, tables A.1 and A.2).

6. Activities under the workplan[3] were assigned priorities 1 or 2 in the budget of the Convention and the Protocol, while other activities, not explicitly listed in the workplan or not expected to be covered by the budget, were considered priority 3. Pledged and non-earmarked contributions were only to be used to fund activities with priorities 1 or 2.

7. Some countries imposed conditions on their contributions, notably by earmarking them for priority-2 activities and especially to support participation in meetings by representatives of countries with economies in transition (CITs). Switzerland made funds available for technical advice to Uzbekistan, as foreseen in the workplan but not expected

[1] See ECE/MP.EIA/15, decision V/10–I/10.
[2] Decision V/10–I/10, paras. 8 and 9.
[3] ECE/MP.EIA/SEA/2, decision V/9–I/9.

to be covered by the budget of the Convention and the Protocol (therefore assigned priority 3). Sweden and Switzerland provided funds for activities not listed in the workplan but implied in the declaration by the first session of the MOP/MOP; these activities were also assigned priority 3. Switzerland made a contribution to cover practical costs of a side event at the Seventh "Environment for Europe" (EfE) Ministerial Conference, held in Astana in September 2011, whereas Sweden's contribution was intended to enable the secretariat to support the ratification of the Convention by the Russian Federation. Germany made funds available for the workshop on public participation held jointly with the Convention on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters (Aarhus Convention) in October 2012, as foreseen in the workplan but not expected to be covered by the core budget (therefore assigned priority 3). It also funded the preparation of the draft good practice recommendations on public participation in strategic environmental assessment (not explicitly listed in the workplan, therefore assigned priority 3).

8. One priority-2 activity was not completed within the previous intersessional period and was therefore carried forward, together with its budgeted funds ($22,600): a subregional cooperation workshop for the Mediterranean Sea.

9. The income, together with the funds for the carried-over activity, totalled $970,973.

Table 1
Income and expenditure
(in United States dollars)

		Amount by priority			
		1	*2*	*3*	***Total***
(a)	Contributions made to the trust fund, with non-earmarked contributions being allocated first to priority 1 activities (from tables A.1 and A.2)	684 219	180 520	83 634	**948 373**
(b)	Funds for carried-over activities (see para. 8)	0	22 600	0	**22 600**
(c)	**Total income to the trust fund in the period ((a)+(b))**	**684 219**	**203 120**	**83 634**	**970 973**
(d)	Expenditure from the Espoo Trust Fund for budgeted priority 1 and 2 workplan activities (from table A.3) and unbudgeted priority 3 activities (from table A.4)	415 933	284 617	49 785	**750 335**
(e)	13% United Nations programme support costs	54 071	37 000	6 472	**97 544**
(f)	**Trust fund balance: income less expenditure in the period ((c)-(d)-(e))**	**214 214**	**-118 496**	**27 377**	**123 094**
(g)	In-kind contributions made, valued according to the budgeted activity cost (from table A.7)	0	0	358 000	**358 000**

10. The secretariat spent from the trust fund in accordance with the budget (table A.3, with further details in tables A.5 and A.6). The funds were spent on the two priority-1 activities, namely on an external expert to provide secretariat support and on drafting of the

reviews of implementation of the Convention and the Protocol. Funds were also spent on priority-2 activities in the following areas:

(a) The sixth session of the MOP and the second session of the MOP/MOP;

(b) Nine sessions of the Implementation Committee;

(c) Three free-standing meetings of the Bureau;

(d) A meeting of the chairs of the bureaux of the United Nations Economic Commission for Europe (ECE) conventions and the Committee on Environmental Policy (as there was no fourth meeting of the Bureau);

(e) Three meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment;

(f) Informal translation of informal papers for the above meetings;

(g) General guidance on enhancing consistency between the Convention and environmental impact assessment within State ecological expertise in countries of Eastern Europe, the Caucasus and Central Asia;

(h) Secretariat travel in relation to the workplan;

(i) The promotion of contacts with countries outside the region.

11. In addition, the secretariat spent from the trust fund further to the earmarked contributions by:

(a) Sweden and Switzerland, on the side event to the Astana EfE Ministerial Conference and to support the ratification of the Convention by the Russian Federation;

(b) Germany, on the joint workshop on public participation with the Aarhus Convention, and the preparation of draft good practice recommendations on public participation in strategic environmental assessment by a consultant;

(c) Switzerland, on technical support to Uzbekistan to review its national legislation for the implementation of the Convention and to propose amendments.

12. The expenditure totalled $750,335, to which was added 13 per cent United Nations programme support costs of $97,544, leaving a balance in the trust fund of $123,094.

13. Parties, other States and organizations also undertook or financed the following priority-3 workplan activities in the following areas by providing in-kind contributions (table A.7):

(a) Financial support of a speaker at the side event to the AstanaMinisterial Conference;

(b) Subregional cooperation meetings in Poland and Estonia for the countries of the Baltic Sea area;

(c) A four-day workshop to strengthen capacity for the development of environmental impact assessment (EIA) and strategic environmental assessment (SEA) systems in Uzbekistan, with sharing of experiences from other countries in the implementation of the Espoo Convention and in particular its Protocol;

(d) A pilot project between Belarus and Ukraine, 2013–2014;

(e) Technical advice and training on SEA in Belarus;

(f) A workshop including training on SEA in Armenia;

(g) A workshop including training in Ukraine;

(h) Development of legislation on SEA in the Republic of Moldova;

(i) Seminars for the exchange of good practices on biodiversity and on wind and hydro-energy, and a workshop on the long-range impacts of nuclear energy-related activities.

14. Funds raised through the Environment and Security Initiative (ENVSEC) (with funding from Sweden) were disbursed to support the technical advice and training on SEA in Belarus and the pilot on post-project analysis of transboundary EIA between Belarus and Ukraine. These funds also supported organization of a subregional seminar to present the results of the pilot project in April 2014 (total value $210,000).

15. The Swiss funding for pre-accession support to Uzbekistan in drafting legislation for the implementation of the Convention was supplemented by funds made available by the German Agency for International Cooperation (GIZ) (total value $8,000).

16. Starting from 2013, the funds raised through the European Union-funded Greening Economies in the European Union's Eastern Neighbourhood (EaP GREEN) programme generated a substantial increase in income (totalling €2 million for 2013–2017, with $422,626 made available for 2013). This created savings in the use of the trust fund and allowed implementation of workplan activities in Armenia, the Republic of Moldova and Ukraine. In addition, these funds covered legislative assistance for the implementation of the Convention in Georgia (not listed in the workplan but requested by Georgia); as well as the costs of one full-time external expert and one administrative support staff on a 50 per cent basis to support the secretariat in planning and management of capacity-building activities.[4]

17. The above workplan activities, funded by in-kind contributions, have been valued at $358,000 in total.

IV. Overspending and savings

18. Savings, at or exceeding $10,000 per budget line, were realized for the following:

(a) Expenditure for an external expert to provide secretariat support, owing to the fact that during the reporting period the post of the external expert was vacant for several months (saving $53,417);[5]

(b) The staff shortage over an extended period limited the travel of the secretariat, which generated further savings (saving $70,344);

(c) Promotion of contacts with countries outside the ECE region was carried out at reduced cost (saving $21,584);

(d) Savings of $30,000 arose from the Bureau's decision not to fund participation of non-ECE countries in the meetings of the Working Group in 2012 and 2013;

(e) During the intersessional period, the Implementation Committee did not recommend the conduct of country-specific performance reviews to assist Parties to

[4] Funds from the same source were also expected to cover several other workplan activities in Armenia, Azerbaijan, Belarus, Georgia, the Republic of Moldova and Ukraine, in the next intersessional period, in particular to promote ratification and application of the Protocol on SEA (legislative assistance, pilot projects, workshops with training and subregional experience-sharing events).

[5] The original budget for the extrabudgetary expert was underestimated and would not have been sufficient to fully cover the staff costs over the thee-year period.

strengthen their implementation of and compliance with the Convention and the Protocol (savings for the cancelled activities of $75,000);

(f) Further to its fundraising from other sources, the secretariat could finance workplan activities without using the trust fund, which resulted in savings for costs of consultants (saving $48,498: e.g., for the pre-accession legislative support to Belarus and the Republic of Moldova; a pilot transboundary EIA between Belarus and Ukraine; and training on SEA in Armenia, Belarus and Ukraine);

(g) Further savings included those for informal translations of submissions ($10,000), promotional materials ($30,000) and for informal translations of informal papers (saving $55,000);

(h) Finally, savings were realized for the organization of the workshops or half-day seminars within the meetings of the Working Group, which were mainly covered by in-kind contributions (saving $30,000).

19. Some spending on priority-2 workplan activities exceeded the assigned amount for a budget line:

(a) Though the total costs of financial support for participation at the meetings of the Working Group were below the budget, the costs of participation by representatives of CITs and slightly also by representatives of non-governmental organizations (NGOs) to the Working Group's third meeting exceeded the budgeted amount. This was due to the decision by the Working Group to extend is third meeting by half a day, which entailed additional daily subsistence allowance (DSA) costs for all the participants that benefited from financial support;

(b) Though the total costs of the meetings of the Bureau were below the budget, the costs of the freestanding meeting of the Bureau in 2014 exceeded the budgeted amount, due to the need for the secretariat to arrange for simultaneous interpretation.

20. Table 2 presents the budgetary requirements for the period between the fifth and sixth sessions of the MOP, identifying how much of the budget was fulfilled by expenditure and in-kind contributions and taking into account savings and overspend. Table 2 refers to priority-1 and -2 activities as only these had a budgetary allocation.

Table 2
Budgetary requirements
(in United States dollars)

		Amount by priority		
		1	*2*	*Total*
(a)	Budget for period between the fifth and sixth sessions of the Meetings of the Parties to the Convention (ECE/MP.EIA/15, decision V/10), plus for carried-over priority 1 activities (from table A.8)	470 000	685 000	1 155 000
(b)	Expenditure from the Espoo trust fund for budgeted workplan activities (from table 1)	415 933	284 617	688 550
(c)	In-kind contributions made according to the budgeted activity cost (priority 1 and 2) (from table 1)	0	0	
(d)	Savings against budget for workplan activities (from table A.8)	54 067	380 039	434 450
(e)	Overspend against budget for workplan activities (from table A.8)	0	0	0

		Amount by priority		
		1	*2*	*Total*
(f)	Outstanding budgetary requirements ((a)-(b)-(c)-(d)+(e))	0	20 000	20 000
(g)	13 per cent United Nations programme support costs	0	2 600	2 600
	Total outstanding requirements ((f)+(g))	**0**	**22 600**	**22 600**

V. Outstanding requirements and operating reserve

20. Table 2 also presents the outstanding budgetary requirements at the end of the period, corresponding to the subregional cooperation workshop for the Mediterranean Sea area, which was not held as planned. This requires that $22,600 be carried forward into the next intersessional period, taking into account the United Nations programme support costs. The remainder of the $123,094 in the trust fund (table 1) may therefore be added to the operating reserve once final expenditure figures are available.

21. An operating reserve of $411,925 was created by carrying forward unspent funds from the two periods between the third and fourth, and the fourth and fifth sessions of the MOP, as decided by the MOP at the respective sessions. The operating reserve provided contingency funding to ensure continued operations under the Convention in the event of unanticipated problems, for example delayed receipt of contributions.

Annex

Details of the financial report

Table A.1
Income to the Convention's trust fund
(in United States dollars)

Party[a]	*Description of pledge in ECE/MP.EIA/15, annex, plus additional contributions*[b]	*Pledge*	*Amount received*
Albania	Two unpledged contributions of US$ 1,000 in 2013.	0	2 000
Armenia	Unpledged contribution.	0	1 000
Austria	Six shares per year for three years, for both instruments.	18 000	18 083
Azerbaijan	(None)	0	0
Belarus	(None)	0	0
Belgium	Unpledged contribution of €15,000 from Flanders Region (Belgium) in 2011.	0	20 000
Bosnia and Herzegovina	(None)	0	0
Bulgaria	US$ 5,000 for the Convention and US$ 3,000 for the Protocol in the period up to the next session of the MOP, to be paid in 2012.	8 000	8 000
Canada	(None)	0	0
Croatia	Three shares per year.	9 000	9 000
Cyprus	Unpledged but regular contribution of €6,000 in 2011.	0	8 208
Czech Republic	US$ 5,000 per year until the next session of the MOP, depending upon the availability of funds in the national budget.	15 000	15 000
Denmark	Unpledged contribution of US$ 15,000 in 2013.	0	14 970
Estonia	Unpledged contributions of €1,000 in 2012 and 2013.	0	2 657
Finland	(US$ 2,153 carried over). An associate expert will be funded for one year with an option for a second year. Funding was provided for three years (2011–2013) and also confirmed for the fourth year (2014).	0	2 153
France	€10,000 per year, subject to availability in the budget.	39 000	40 031
Germany	A minimum of 30 shares for the period to the next sessions of the MOP and the MOP/MOP. This sum will be divided into at least two payments and will be earmarked. Any payment depends on the availability of funds in the national budget that will have to be adopted by the parliament for each year. In addition, unpledged contribution in 2012 of US$ 25,000 to cover consultant fees and travel and to support travel of CIT and NGO participants for the joint Espoo-Aarhus meeting on public participation in SEA.	30 000	55 000
Greece	Unpledged contributions of US$ 1,000 and US$ 2,000 in 2013.	0	3 000
Hungary	US$ 4,000 per year contribution for the period to the next session of the MOP (in years 2011, 2012, 2013 and 2014).	16 000	15 981
Ireland	Unpledged but regular contribution of €10,000 in 2011.	0	13 680
Italy	(None)	0	0
Kazakhstan	(None)	0	0
Kyrgyzstan	(None)	0	0
Latvia	(None)	0	0
Liechtenstein	(None)	0	0
Lithuania	Unpledged contribution of US$ 1,500 in 2013.	0	1 500
Luxembourg	(None)	0	0

Party[a]	*Description of pledge in ECE/MP.EIA/15, annex, plus additional contributions*[b]	*Pledge*	*Amount received*
Malta	(None)	0	0
Montenegro	(None)	0	0
Netherlands	€48,000 for the intersessional period between the fifth and sixth sessions of the MOP.	62 400	68 670
Norway	10–15 shares per year for the period to the next sessions of MOP and MOP/MOP (12.5 shares per year indicated in pledge column). Any payment depends on the availability of funds in the national budget to be adopted by the parliament for each year. In addition, an unpledged contribution in 2011 of NOK 100,000 (US$ 17,014) to support travel by government and NGO representatives of CITs. Similar contribution at the end of 2011 for 2012, comprising both pledged and unpledged elements. In addition, unpledged contributions of US$ 52,649 in 2012, US$ 55,474 in the end of 2012 for 2013 and US$ 49,584 at the end of 2013 for 2014 earmarked for an external expert to provide secretariat support (up to US$ 37,000) and for CIT and NGO participation (US$ 18,000). Further, unpledged contributions in 2012 of NOK 160,000 (US$ 27,145) and in 2013 of NOK 200,000 (US$ 33,950) for CIT participation.	37 500	286 508
Poland	Five shares per year for the period to the next MOP (in years 2012, 2013 and 2014).	15 000	15 000
Portugal	(None)	0	0
Republic of Moldova	Unpledged contribution of US$ 1,000 in 2014.	0	1 000
Romania	Unpledged contribution of US$ 415 resulting from interest on trust fund for inquiry commission (2004–2006) in 2012. Unpledged contribution of US$ 5,000 in 2013.	0	5 415
Serbia	US$ 1,000 in 2012.	1 000	1 000
Slovakia	€2,000.	2 600	2 861
Slovenia	Three shares per year for three years for both the Convention and the Protocol.	9 000	9 000
Spain	(None)	0	0
Sweden	20 shares, in kind, primarily for Baltic cooperation for both instruments, subject to the approval of the national budget. An unpledged contribution at the end of 2011 for secretariat support for the ratification of the Convention and accession to the Protocol by the Russian Federation.	0	28 469
Switzerland	Likely US$ 20,000 (20 shares) per year to the next MOP. An unpledged contribution of CHF 25,000 (US$ 28,474) in 2011 to support capacity-development activities in Central Asia (Uzbekistan) and an unpledged contribution of CHF 1,557 in 2011 to cover the costs of practical arrangements for a side event during the Astana EfE Ministerial Conference in 2011 (actual costs were US$ 1,650).	60 000	95 114
The former Yugoslav Republic of Macedonia	(None)	0	0
Ukraine	US$ 6,000 (six shares) in total for the period to the next meeting of the Parties. Unpledged contribution of US$ 415 resulting from interest on trust fund for inquiry commission (2004–2006).	6 000	6 415
United Kingdom of Great Britain and Northern Ireland	(None)	0	0
European Union	€50,000 per year (but see paragraph 2 of decision V/10 on the budget, financial arrangements and financial assistance).	195 000	198 657
Total		**523 500**	**948 373**

[a] All Parties to the Convention are listed, whether or not contributing to the trust fund.
[b] Exchange rates for pledges, at 23 June 2011: US$ 1.40 per €.
[c] Shares are US$ 1,000 in value.

Table A.2
Income to the Convention's trust fund by date
(in United States dollars)

Date	*Party*	*Amount received in original currency*	*Income*
2011			
3 May	Czech Republic	USD 5 000	5 000
27 May	Netherlands	USD 68 669.53	68 670
27 May	Hungary	USD 4 000	4 000
14 July	Finland	USD 2 153[a]	2 153
14 July	France	EUR 10 000	14 306
19 July	Slovakia	EUR 2 000	2 861
30 August	Slovenia	USD 3 000	3 000
30 September	Switzerland	CHF 45 000	51 253
31 October	Austria	EUR 4 373.50	5 967
18 November	Ireland	EUR 10 000	13 680
28 November	Cyprus	EUR 6 000	8 208
12 December	Norway	USD 17 014.22	17 014
22 December	Switzerland	CHF 1 557	1 691
19 December	Norway	USD 16 444.28	16 444
22 December	Belgium (Flanders Region)	EUR 15 000	20 000
2012			
3 January	Sweden	USD 28 469.31	28 469
3 January	Norway	USD 52 649.47	52 649
4 January	Germany	USD 15 000	15 000
9 January	Romania	USD 415	415
9 January	Ukraine	USD 415	415
15 February	Slovenia	USD 3000	3 000
27 March	Bulgaria	USD 8 000	8 009
4 April	Croatia	USD 3 000	3 000
25 April	European Union	EUR 50 000	66 401
3 May	Czech Republic	USD 5 000	5 000
28 June	Armenia	USD 1 000	1 000
4 July	Hungary	USD 4 000	4 000
4 August	Switzerland	CHF 20 000	20 408
31 August	Norway	USD 27 144.56	27 145
19 September	Poland	USD 5 000	5 000
25 September	Germany	USD 25 000	25 000
22 November	Austria	EUR 4 721.99	6 117
27 November	Norway	USD 55 473.61	55 474
28 November	France	EUR 10 000	12 953
29 November	Ukraine	USD 6 000	6 000
27 December	Estonia	EUR 1 000	1 299
28 December	Norway	USD 17 587.81	17 588

Date	*Party*	*Amount received in original currency*	*Income*
2013			
4 January	Greece	USD 1 000	1 000
29 January	Denmark	USD 14 970	14 970
4 February	Poland	USD 5 000	5 000
7 February	Albania	USD 1 000	1 000
11 April	Czech Republic	USD 5 000	5 000
17 April	France	EUR 10 000	12 771
17 April	Austria	USD 6 000	6 000
18 April	European Union	EUR 50 000	63 857
18 April	Slovenia	USD 3 000	3 000
29 April	Croatia	USD 1 000	1 000
3 May	Norway	USD 33 949.63	33 950
3 June	Hungary	USD 3 981.20	3 981
22 August	Romania	USD 5 000	5 000
30 September	Lithuania	USD 1 500	1 500
31 October	Norway	USD 16 660.43	16 660
5 November	Albania	USD 1 000	1 000
8 November	Germany	USD 15 000	15 000
8 November	Serbia	USD 1 000	1 000
29 November	Switzerland	CHF 20 000	21 763
16 December	Norway	USD 49 583.62	49 584
20 December	Greece	USD 2 000	2 000
26 December	Estonia	EUR 1 000	1 359
2014			
February	Poland	USD 5 000	5 000
March	European Union	EUR 50 000	68 399
March	Republic of Moldova	USD 1 000	1 000
[b]	Hungary	USD 4 000	4 000
[b]	Croatia	USD 5 000	5 000
Total			**908 669**

[a] Carried over from the previous period (contribution incorrectly reported as USD 5,000 rather than EUR 5,000).
[b] The transfers were still under way in mid-March 2014.

Table A.3
Expenditure from the Convention's trust fund
(in United States dollars)

Index	*Activity*	*Priority*	*Sub-activity*	*Type of expenditure*	*Expenditure*[a]
1	Sixth session of the MOP and second session of the MOP/MOP, Geneva (originally expected to be held in Ukraine).	2		Participation of CITs	*40 000*
				Participation of NGOs	*20 000*
				Invited speakers	*10 000*
				Participation of non-ECE countries	*20 000*
	Total for activity				**90 000**
2.1	Meetings of the Working Group on Environmental Impact Assessment and Strategic Environmental Assessment, Geneva	2	First meeting, 24–26 April 2012	Participation of CITs	15 604
				Participation of NGOs	8 739
				Participation of non-ECE countries	0
2.2			Second meeting, 27–30 May 2013	Participation of CITs	22 212
				Participation of NGOs	8 044
				Participation of non-ECE countries	0
2.3			Third meeting, 11–14 November 2013	Participation of CITs	25 210
				Participation of NGOs	10 475
				Participation of non-ECE countries	0
	Total for activity				**90 284**
3.1	Bureau meetings (free standing)	2	First meeting, 31 January–1 February 2012	Participation of CITs (Bureau members)	1 507
3.2			Second meeting, 31 January–1 February 2013	Participation of CITs (Bureau members)	888
3.3			Third meeting, 30 –31 January 2014	Participation of CITs (Bureau members)	*7 530*
3.4			Meeting of the chairs of the bureaux of the ECE conventions and of the Committee on Environmental Policy (as no fourth meeting of Bureau)	Participation of CITs (Bureau members)	1 350
	Total for activity				**11 275**
4.1	Meetings of the Implementation Committee, Geneva	2	Twenty-second session, 5–7 September 2011	Participation of CITs (Committee members)	6 794

Index	*Activity*	*Priority*	*Sub-activity*	*Type of expenditure*	*Expenditure*[a]
4.2			Twenty-third session, 5–7 December 2011	Participation of CITs (Committee members)	4 330
4.3			Twenty-fourth session, 20–23 March 2012	Participation of CITs (Committee members)	4 699
4.4			Twenty-fifth session, 11–13 September 2012	Participation of CITs (Committee members)	3 689
4.5			Twenty-sixth session, 26–28 November 2012	Participation of CITs (Committee members)	3 961
4.6			Twenty-seventh session, 12–14 March 2013	Participation of CITs (Committee members)	4 072
4.7			Twenty-eighth session, 10–12 September 2013	Participation of CITs (Committee members)	3 206
4.8			Twenty-ninth session, 10–12 December 2013	Participation of CITs (Committee members)	4 055
4.9			Thirtieth session, 25–27 February 2014	Participation of CITs (Committee members)	3 677
	Total for activity				**38 484**
5	Informal translations of informal papers for meetings listed above	2			*10 000*
	Total for activity				**10 000**
6	Promotion of contacts with countries outside the ECE region (with reporting of results to Working Group)	2	Secretariat and Chair's travel and DSA (detailed in table A.5)		3 416
	Total for activity				**3 416**
7.1	External expert to provide secretariat support for the implementation of the Convention and the Protocol	1	July–December 2011	Extrabudgetary post	92 911
7.2			2012	Extrabudgetary post	132 936
7.3			2013	Extrabudgetary post	81 416
7.4			January–June 2014	Extrabudgetary post	*89 321*
	Total for activity				**396 583**
8.1	Further secretariat support	2	Consultants	Draft general guidance on enhancing consistency with State ecological expertise (fee and travel)	11 502
8.2			Promotional materials	(None)	0
8.3			Secretariat travel in relation to the workplan	Secretariat travel and DSA (detailed in table A.6)	*19 656*
	Total for activity				**31 158**
9.1	Compliance with and implementation of the Convention	1	Informal translations of submissions		0

Index	*Activity*	*Priority*	*Sub-activity*	*Type of expenditure*	*Expenditure*[a]
9.2			Drafting of review of implementation	Consultant to prepare a draft review of implementation of the Convention and the Protocol in the period 2010–2013 (fee); informal translations of national reports by the Parties (from Russian to English).	19 350
9.3			Country-specific performance reviews:		0
9.3.1			(None)		0
9.3.2			(None)		0
9.3.3			(None)		0
	Total for activity				**19 350**
10	Exchange of good practices	2	Workshops or half-day seminars within meetings of the Working Group on:		
10.1			(a) Biodiversity, May 2013	(In-kind)	0
10.2			(b) Renewable energy, June 2014	(In-kind)	*10 000*
10.3			(c) One-day workshop on the long-range impacts of nuclear energy-related activities, November 2013	(In-kind)	0
10.4			(d) None		0
	Total for activity				**10 000**
11	Promoting ratification and application of the Protocol	2	(None)		0
	Total for activity				**0**
12	Subregional cooperation: workshop for the Mediterranean Sea area	2	(None)		0
	Total for activity				**0**
	Total for all activities				**700 550**

[a] Expenditure in italics is estimated

Table A.4
Expenditure from the Convention's trust fund on unbudgeted activities
(in United States dollars)

Index	*Activity*	*Priority*	*Justification*	*Type of expenditure*	*Expenditure*[a]
1	Side event during the Astana EfE Ministerial Conference, September 2011	3	Geneva Declaration, para. 19; willingness of Switzerland to provide funds.	Practical arrangements: interpretation and refreshments.	2 072
				Participation of NGOs.	1 213
2	Secretariat support for the ratification of the Convention and accession to the Protocol by the Russian Federation.	3	Cavtat Declaration, para. 17; Geneva Declaration, para. 17; willingness of Sweden to provide funds.	Meeting with representatives of the Ministry of Natural Resources and Environment, and of the Russian Government Institute of Legislation and Comparative Law Studies to discuss implementation of the Espoo Convention by the Russian Federation, Moscow, 29 November 2011.	1 441
3	Drafting of good practice guidance on public participation in SEA (consultancy fee and travel).	3	Informal notes of meeting of the Bureau 31 January–1 February 2012, para. 23; willingness of Germany to provide funds.	Consultancy fee and travel.	10 170
4	Joint workshop on public participation with the Aarhus Convention, 29–30 October 2012.	3	Workplan activity; willingness of Germany to provide funds.	Participation of CITs and NGOs.	15 888
5	Technical support to Uzbekistan to review its national legislation for implementation of the Convention.	3	Workplan activity; willingness of Switzerland to provide funds.	Consultancy fee and travel, and travel of the secretariat.	*19 000*
	Total for all activities				**49 785**

[a] Expenditure in italics is estimated

Table A.5
Promotion of contacts with countries outside the ECE region: travel and subsistence allowances
(in United States dollars)

Index	*Purpose of travel*	*Expenditure*
11.1	International Association for Impact Assessment (IAIA) Special Conference on Strategic Environmental Assessment, Prague, 21–23 September 2011 (two persons, but one paid for from budget line for associate expert)	1 525
11.2	Korea Environment Institute International Conference 2012, Seoul, 13–15 June 2012 (most costs covered by the organizer)	102
11.3	Korea Environment Institute International Conference 2011, Seoul, 17–18 November 2011 (most costs covered by the organizer)	0
11.4	IAIA Conference on Energy Future — The role of Impact Assessment, Porto, 29 May–1 June 2012 (two persons, but one paid for from budget line for associate expert)	1 788
	Activity total	**3 416**

Table A.6
Secretariat travel in relation to the workplan: travel and subsistence allowances
(in United States dollars)

Index	*Purpose of travel*	*Expenditure*[a]
8.3.1	Side event on the importance of impact assessment in planning related to greening the economy and in sustainable water management, during the Astana EfE Ministerial Conference, September 2011	2 895
8.3.2	Meeting with officials of the Swiss Ministry of Foreign Affairs and Federal Office for the Environment regarding capacity-development, Bern, 17 October 2011 (two persons, but one covered by associate expert's travel budget)	237
8.3.3	Presentation on the role of the ECE Convention on the Protection and Use of Transboundary Watercourses and International Lakes (Water Convention) and the Espoo Convention, within the International High-level Conference, "Strengthening transboundary water cooperation in Central Asia: the role of international water law and the ECE Water Convention and the Workshop on the Protocol on Water and Health", Almaty, Kazakhstan, 25–27 October 2011 (costs covered by Water Convention-related project)	0
8.3.4	Fifth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Sopot, Poland, 27–28 October 2011	1 429
8.3.5	Training workshop for strengthening capacities in Uzbekistan for understanding and implementing the Espoo Convention, and in particular the Protocol on SEA, Tashkent, 9–12 November 2011 (costs covered by Water Convention-related projects)	0
8.3.6	Meeting with the EuropeAid Development and Cooperation Directorate-General of the European Commission on the involvement of the Espoo Convention secretariat in the European Union-funded EaP GREEN project, Brussels, 16 March 2012	631
8.3.7	Sixth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Tallinn, 20–21 September 2012	1 923
8.3.8	Seminar on green economy in the Eastern Partnership partner countries after the United Nations Conference on Sustainable Development (Rio+20 Conference), Brussels 4 October 2012	1 199
8.3.9	Second meeting of the Bureau, Kyiv, 31 January–1 February 2013	1 652
8.3.10	Start-up workshop for pilot project on post-project analysis in Belarus with Ukraine, Brest, 9–10 April 2013 (two persons, costs covered by ENVSEC)	0
8.3.11	Closing conference of a multi-year project financed by Switzerland to promote EIA in Central Asia and Azerbaijan, Dushanbe, 4–6 July 2013	3 059
8.3.12	Meeting of the Steering Group under the EaP GREEN programme, Tbilisi, 16–17 September 2013 (costs covered by EaP GREEN)	0
8.3.13	National level training workshop in Ukraine, 1–4 October 2014 (costs covered by EaP GREEN)	0
8.3.14	National level training workshop in Armenia, 26–29 October 2014 (costs covered by EaP GREEN)	0
8.3.15	National round table on implementation of the Convention in Georgia, Tbilisi, 4 November 2013 (costs covered by EaP GREEN)	0
8.3.16	Third meeting of the Bureau, Athens, 30–31 January 2014 (two persons)	*2 571*
8.3.17	Meeting of the Management Group and the Action Implementation and Coordination Meeting under the EaP GREEN programme, Paris, 13 February 2014 (two persons)	*2 061*
8.3.18	Subregional seminar, presenting results on pilot project on post-project analysis with Belarus and Ukraine and capacity-building on SEA (15–16 April 2014), Minsk (costs covered by ENVSEC)	0
8.3.19	Meeting of the international financial institutions working group on environment, Paris, 12–14 May 2014.	*2 000*
	Activity total	**17 656**

[a] Expenditure in italics is estimated.

Table A.7
In-kind contributions made
(in United States dollars)

Activity	*Priority*	*Sub-activity*	*Date*	*Source*	*Value*[a]	*Notes*
Promotional	3	Side event during the Astana EfE Ministerial Conference	21–23 September 2011	Switzerland	2 000	Financial support for speaker
Subregional cooperation and capacity-building	3	Fifth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Sopot, Poland	27–28 October 2011	Poland	20 000	
Promoting ratification and application of the Protocol	2	Workshop to strengthen capacity for the development of EIA and SEA systems in Uzbekistan; sharing experiences with other countries in particular for the implementation of the Protocol, Tashkent	9–12 November 2011	GIZ and the United Nations Development Programme (UNDP) Uzbekistan	15 000	Trainer fees and travel covered by GIZ, local costs by UNDP
Subregional cooperation and capacity-building	3	Sixth seminar on cooperation on the Espoo Convention in the Baltic Sea subregion, Tallinn	20–21 September 2012	Estonia	20 000	
Compliance with and implementation of the Convention	3	Technical advice to Belarus on improving legislation to implement the Protocol	2013	ENVSEC, with funding from Sweden	25 000	National round table consultation meeting held in September 2013 to discuss the preliminary results of the legal review.
Subregional cooperation and capacity-building	3	Pilot project on post-project analysis with Belarus and Ukraine.	2013–2014	ENVSEC, with funding from Sweden	100 000	Start-up workshop in April 2013, three meetings of the bilateral working group on monitoring, one meeting of the task force in 2013 and one workshop on public participation in February 2014.
Subregional cooperation and capacity-building	3	Subregional meetings	15–16 April 2014	ENVSEC, with funding from Sweden and EaP GREEN	45 000	Subregional conference to disseminate results of EIA pilot in Belarus and Ukraine and capacity-building activities in the Eastern Europe and Caucasus

Activity	*Priority*	*Sub-activity*	*Date*	*Source*	*Value*[a]	*Notes*
						region.
Promoting ratification and application of the Protocol on SEA	3	Workshops, including training, on the application of the Protocol in Belarus	October 2013	ENVSEC, with funding from Sweden	60 000	Three local level training workshops in Brest, Gomel and Grodno.
Promoting ratification and application of the Protocol on SEA	3	Workshops, including training, on the application of the Protocol in Ukraine	1–4 October 2013	EaP GREEN	34 000	Four-day national level training workshop.
Promoting ratification and application of the Protocol on SEA	3	Workshops, including training, on the application of the Protocol in Armenia	26–29 November 2013	EaP GREEN	22 000	Four-day national level training workshop.
Promoting ratification and application of the Protocol on SEA	3	Development of legislation on SEA	2014	EaP GREEN	15 000	Financial support for speaker
Total					**358 000**	

[a] Values for priority 3 activities not indicated in the current budget (decision V/10) are purely indicative. For ENVSEC and EaP GREEN, the figures are based on the project budget.

Table A.8
Budget, after decision V/10
(in United States dollars)

Index	*Activity*	*Priority*	*Sub-activity*	*Budget*	*Savings*	*Overspend*	*Explanation/justification*
	Organizational activities						
1	Sixth session of the MOP and the second session of the MOP/MOP	2	–	90 000	0	0	Completed.
2	Meetings of the Working Group on EIA and SEA, Geneva.	2	–	120 000	29 716	0	Completed.
3	Meetings of the Bureau (free-standing)	2	–	20 000	8 725	0	Completed.
4	Meetings of the Implementation Committee	2	–	40 000	1 516	0	Completed, with one additional (ninth) session.
5	Informal translations of informal papers for meetings listed above	2	–	65 000	55 000	0	Completed.
6	Promotion of contacts with countries outside the ECE region	2	–	25 000	21 584	0	Completed.
7	External expert to provide secretariat support for the	1	–	450 000	53 417	0	Completed.

Index	*Activity*	*Priority*	*Sub-activity*	*Budget*	*Savings*	*Overspend*	*Explanation/justification*
	implementation of the Convention and the Protocol (including coordination of capacity-development, development and maintenance of website, modification of questionnaire, drafting of review of implementation and of other documents)						
8.1	Further secretariat support for the implementation of the Convention and the Protocol	2	Consultants	60 000	48 498	0	Completed. Arranged with limited recourse to the trust fund.
8.2		2	Promotional materials	30 000	30 000	0	Not required.
8.3		2	Secretariat travel in relation to the workplan	90 000	70 344	0	Completed.
	Substantive activities						
9.1	Compliance with and implementation of the Convention	2	Informal translations of submissions	10 000	10 000	0	Not required.
9.2		1	Drafting of review of implementation	20 000	650	0	Completed.
9.3		2	Country-specific performance reviews	75 000	75 000	0	Not required.
10	Exchange of good practices	2	Workshops or half-day seminars	40 000	30 000	0	Out of the four events planned, two half-day events and one full day event completed (with in-kind contributions); one cancelled.
	Carried forward activities						
11	Subregional cooperation		Workshop for Mediterranean subregion	20 000	0	0	Carried forward.
	Total			**1 555 000**	**434 450**		